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DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

ENGLISH LANGUAGE DECLARATION

As a below named inventors, we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

We believe we are an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROCESS AND DEVICE FOR GENERATING SHOCK WAVES FOR MEDICAL USES

the specification of which

(check one)

☐

is attached hereto.

☒

was filed on April 28, 1998 as Application
Serial No. 09/067,733

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



ENGLISH LANGUAGE DECLARATION

PRIOR FOREIGN APPLICATION(S)

PRIORITY CLAIMED

19718512.6 Germany 2/5/97
(Number) (Country) (Day/Mo/Yr Filed)



Yes

No

(Number) (Country) (Day/Mo/Yr Filed)



Yes

No

(Number) (Country) (Day/Mo/Yr Filed)



Yes

No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) Filing Date (Status)

(Application Serial No.) Filing Date (Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



POWER OF ATTORNEY: As a ~~major~~ inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

EUGENE E. RENZ, JR.

Reg. No. 19,557

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Full name of sole or first joint inventor **WERNER SCHWARZE**

Inventor's signature 

Date 18th Aug 98 Country of Citizenship Germany

Residence Gaissbergstrasse 47, CH-8280 Kreuzlingen, Switzerland

Post Office Address Gaissbergstrasse 47, CH-8280 Kreuzlingen, Switzerland

Full name of second joint inventor, if any **WALTER UEBELACKER**

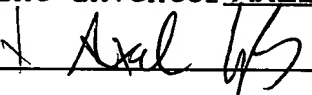
Inventor's signature 

Date 18th Aug 98 Country of Citizenship Germany

Residence Schuetzenstrasse 18, CH-8575 Buergeln, Switzerland

Post Office Address Schuetzenstrasse 18, CH-8575 Buergeln, Switzerland

Full name of third joint inventor **AXEL VOSS**

Inventor's signature 

Date 18th Aug 98 Country of Citizenship Germany

Residence Garlsdorfer Strasse 5, D21272 Egesdorf, Germany

Post Office Address Garlsdorfer Strasse 5, D21272 Egesdorf, Germany

6w101145

In the United States Patent and Trademark Office

In re the application of:

W. Schwarze et al.

Serial No.:

09/067,733

Filed:

APRIL 28, 1998

For:

**PROCESS AND DEVICE FOR GENERATING :
SHOCK WAVES FOR MEDICAL USES :**



: Docket No.:98183.PUS

: Art Unit No.:

: Examiner:

Commissioner of Patents and Trademarks

Application Processing Division

Washington, DC 20231

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

Invention Identification

**Title: PROCESS AND DEVICE FOR GENERATING SHOCK WAVES
FOR MEDICAL USES**

In the name of: W. Schwarze et al.

described in

- ☐ the specification filed herewith
- ☒ application serial no. 09/067,733, filed April 28, 1998

Small Business Concern Statement

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:



Name of Concern: **HTM Holding**

Address of Concern: **Bachstrasse 8, CH-8280 Kreuzlingen, Switzerland**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e):

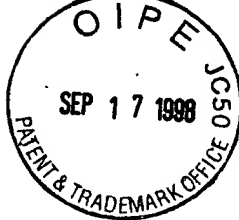
Organization Identification

- ☐ no such person, concern, or organization
- ☐ persons, concerns, or organizations listed below

Full Name: _____

Address: _____

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization



Acknowledgment and Declaration

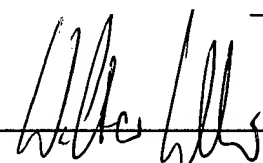
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.78(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Walter Uebelacker

Title of Person Signing managing director

Address of Person Signing Bachstrasse 8, CH-8280 Kreuzlingen, Switzerland

Signature  Date 24.8.98